



Institut Matériaux Microélectronique
Nanosciences de Provence

The Quantum Cascade Cooler: an NEGF analysis

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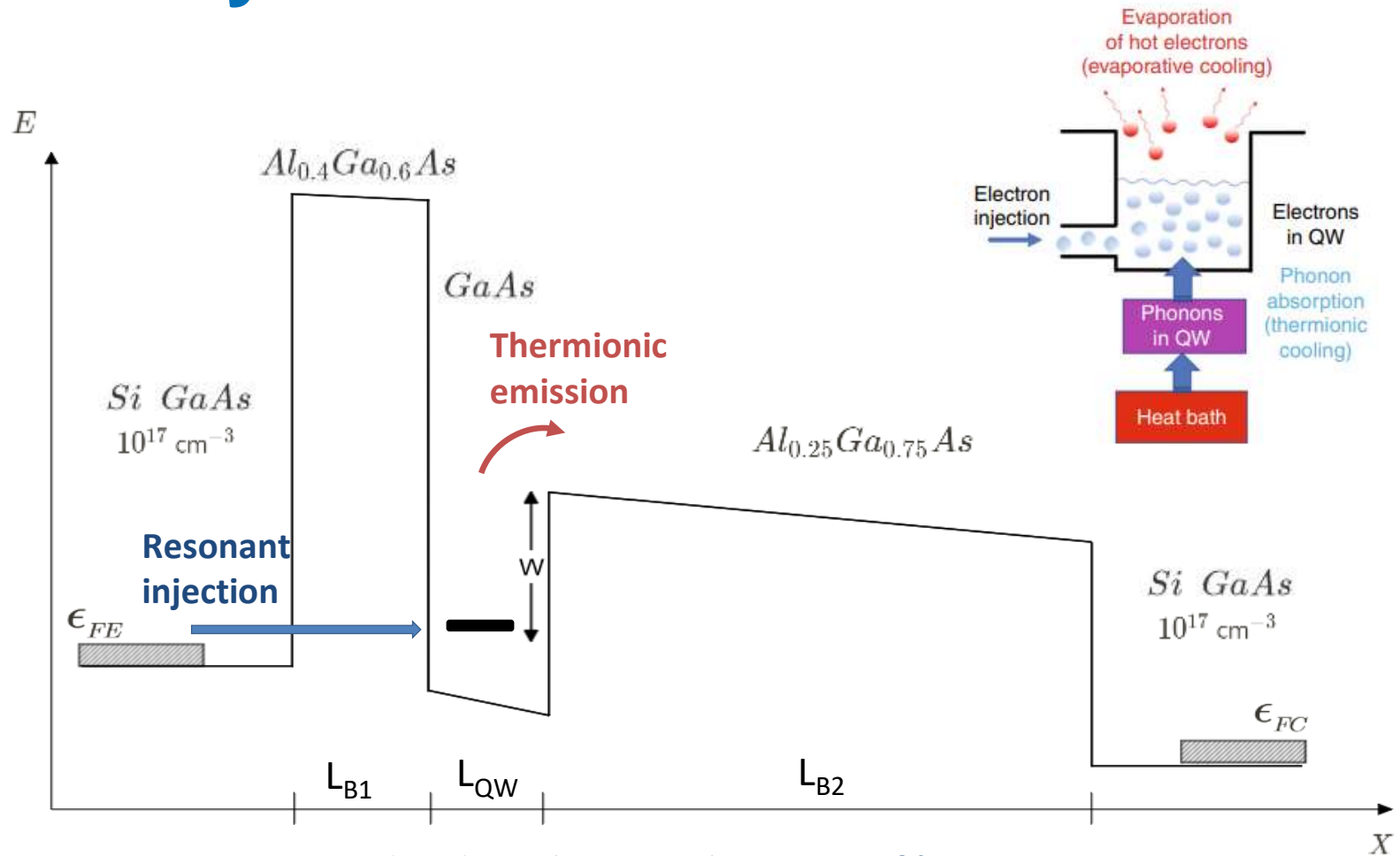
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Outline:

- Context
- Cooling devices
 - Asymmetric double barrier
 - Quantum Cascade Cooler
- Self-consistent method
- Results
 - Performance comparison
 - Electron temperature oscillations

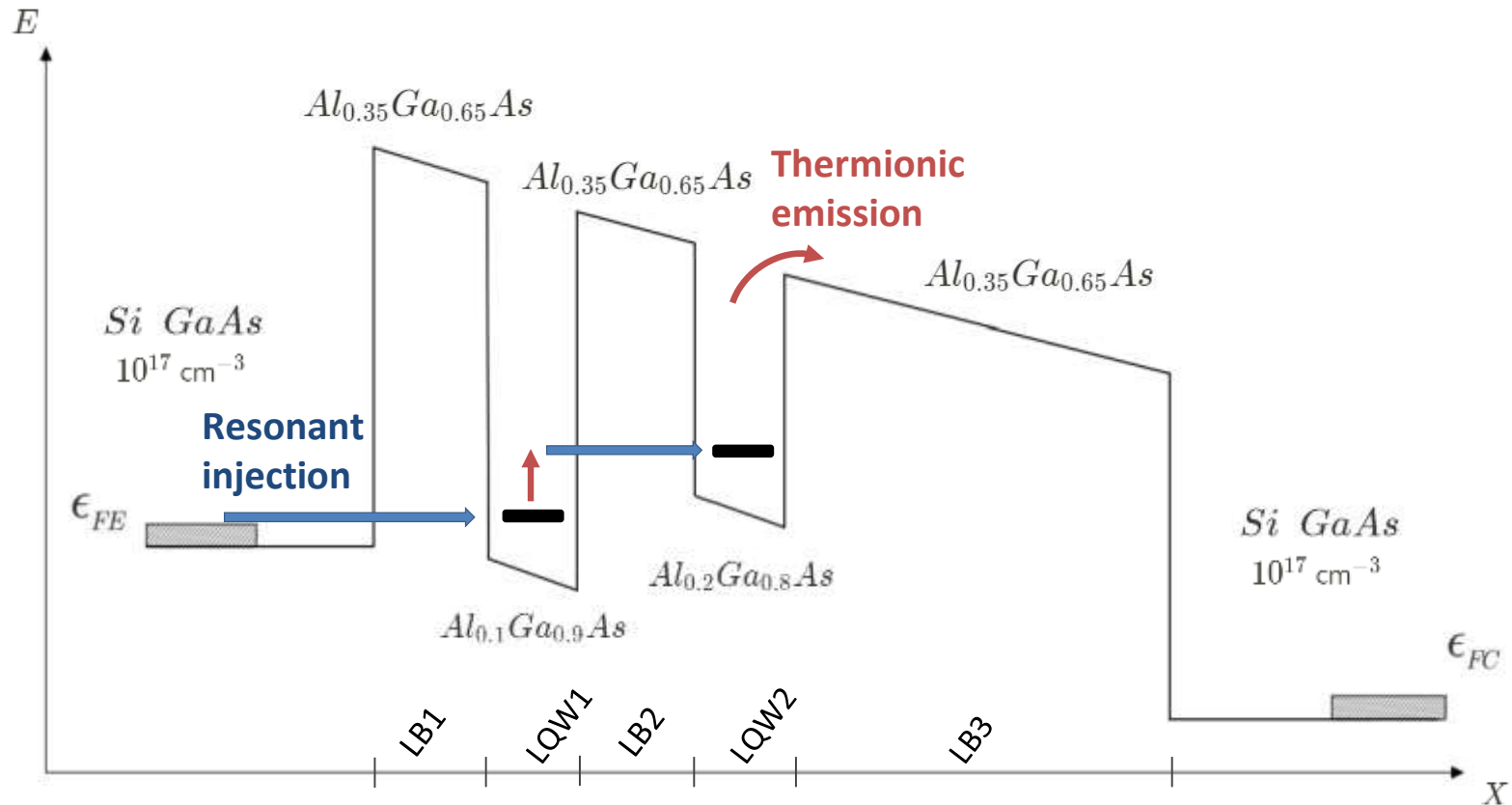
Asymmetric double barrier



[2] M.Bescond et al. *J. Phys.: Condens. Matter* **30**, 064005 (2018).

- **Electron temperature reduced by up to 50K. (evaporative cooling)**

Quantum Cascade Cooler



- QCC consists of a periodic serie of the previous structure
- 1 **electron absorbs** several **phonons in cascade** along the structure

Self-consistent method

Green's functions coupled to Heat and Poisson equations:

NEGF equations for electrons

$$[EI - H - \Sigma_C - \Sigma_{ph}]G = I$$

Heat equation

$$-\nabla \cdot (\kappa_{th} \nabla T_{AC}) = Q[G^{\lessgtr}(T_{AC}, T_{OP})]$$

Poisson equation

$$\nabla \cdot (\epsilon \nabla V) = -\rho[G^{\lessgtr}]$$

Including interactions with:

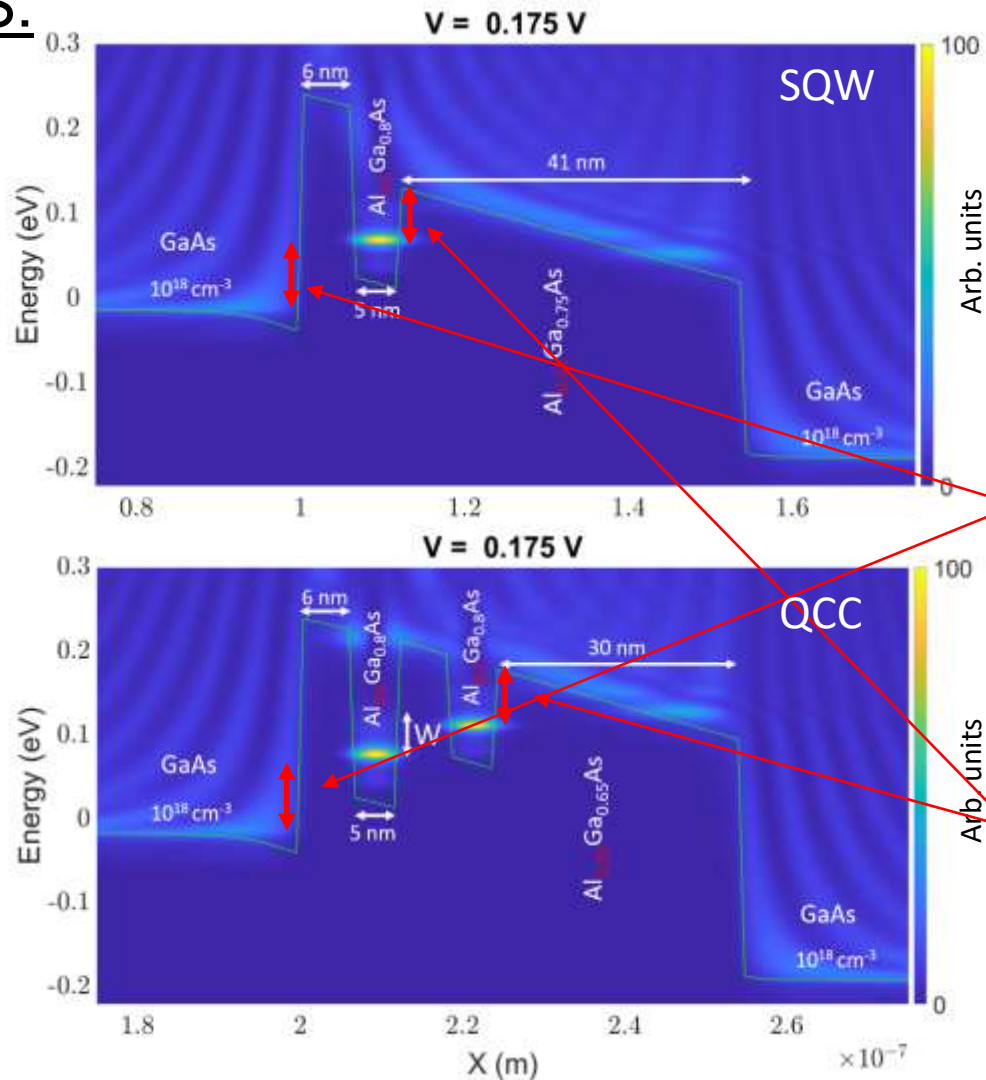
- Acoustic Phonons (AP) - elastic
- Polar optical phonons (POP) – inelastic [3]

Through the self-energies

[3] M.Moussavou, et. al. *Phys. Rev. Appl.*, **10**, 064023 (2018).

Performance comparison

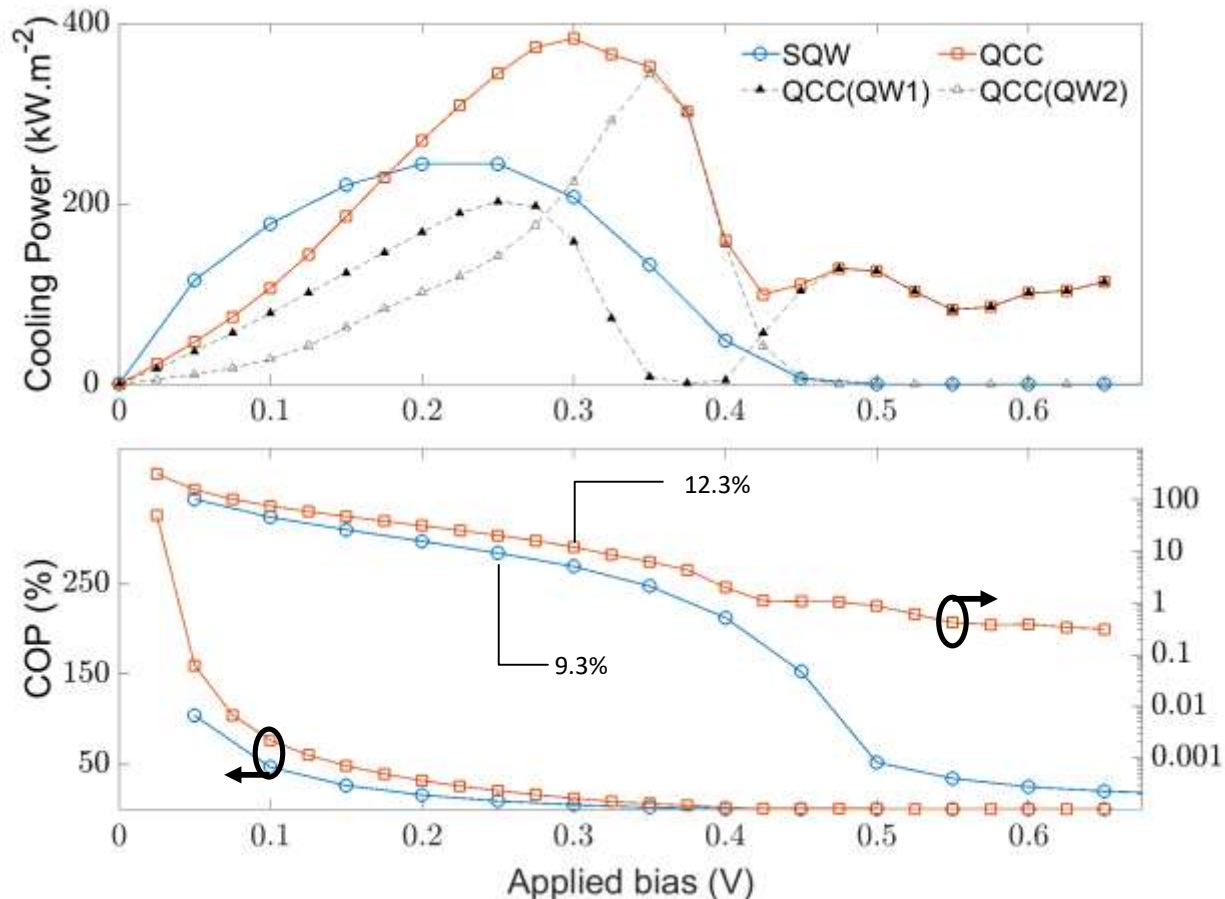
LDOS:



- Both devices have same length
- Energy gap between emitter Fermi level and first QW ground state conserved
- Energy gap between last QW ground state and collector barrier conserved

Performance comparison

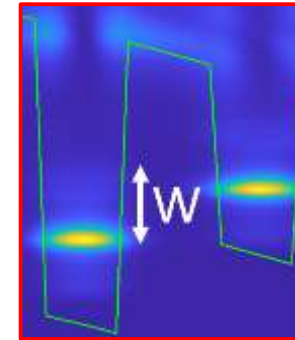
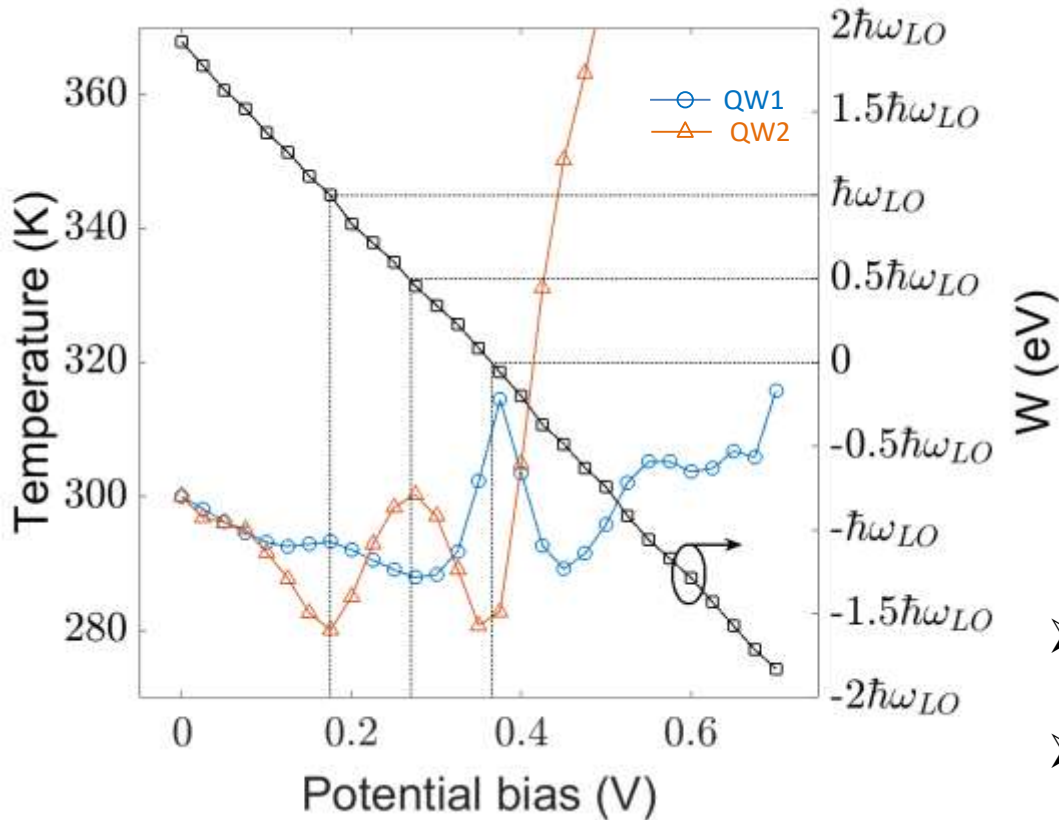
SQW & QCC Cooling power and COP:



- Higher maximum **Cooling Power** for QCC than SQW (Single Quantum Well)
- Higher **COP** at max Cooling Power

Temperature oscillations

Electron temperatures



$$\hbar\omega_{LO} = 35 \text{ meV}$$

Polar optical phonon energy

- **Anticorrelation** between electron temperatures
- **Period of the oscillations** linked to the polar **optical phonon energy**

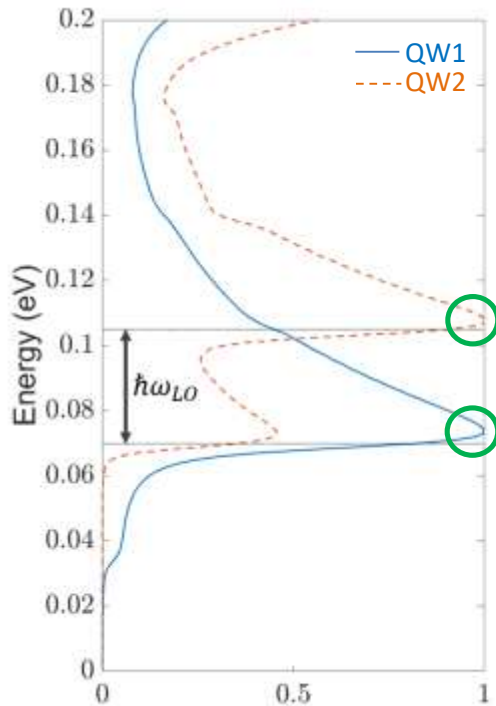
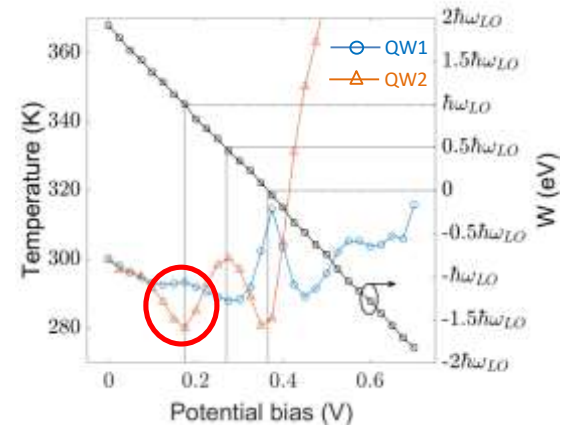
➤ **Analyze the injection and extraction current spectra, impacting the electron distribution**

Temperature oscillations

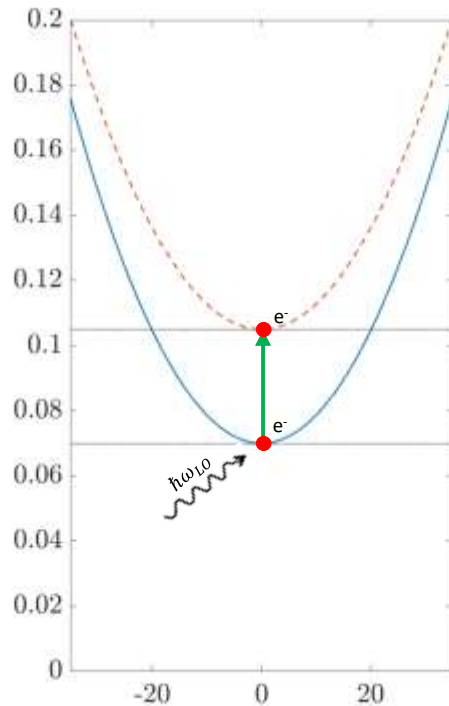
0.175 V: $\left\{ \begin{array}{l} W \approx \hbar\omega_{LO} > k_B T \approx 25 \text{ meV} \\ T_{QW1} \Rightarrow \text{local maximum} \\ T_{QW2} \Rightarrow \text{local minimum} \end{array} \right.$

$\hbar\omega_{LO} = 35 \text{ meV}$

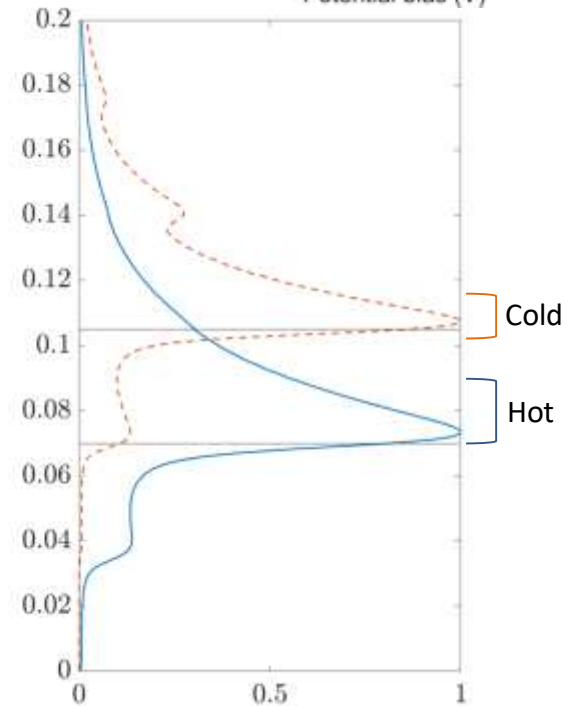
Polar optical phonon energy



Electron current density spectrum (Norm.)



Wavevector (Arb. units)



Electron density spectrum (Norm.)

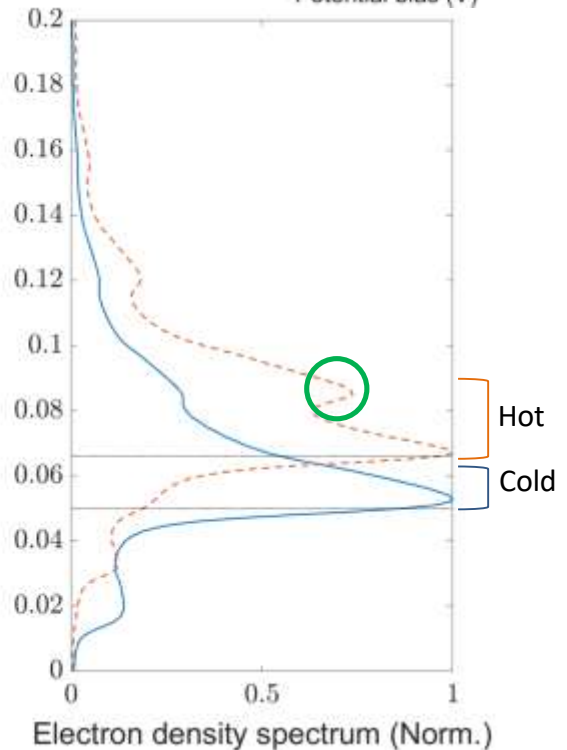
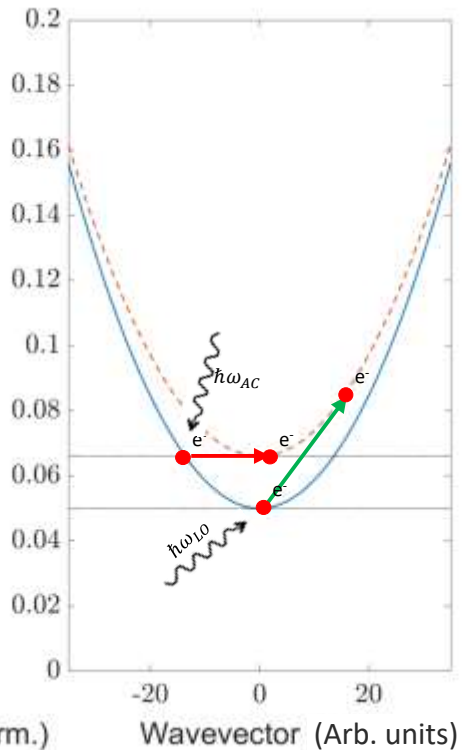
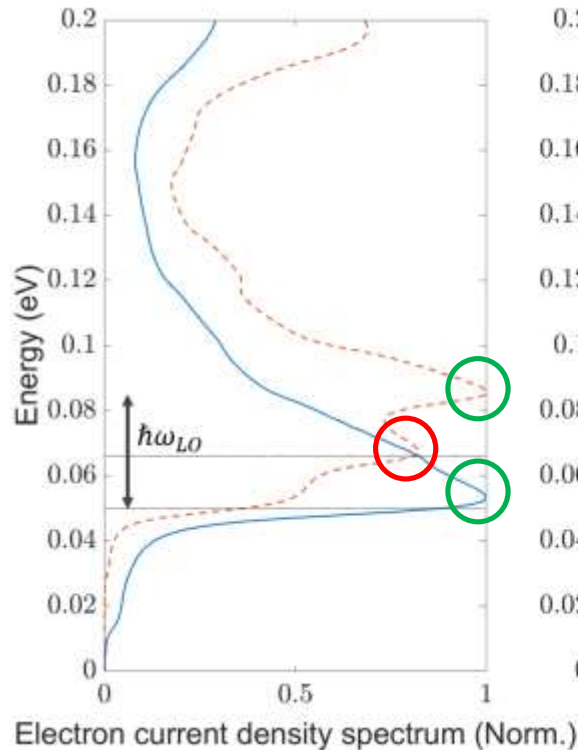
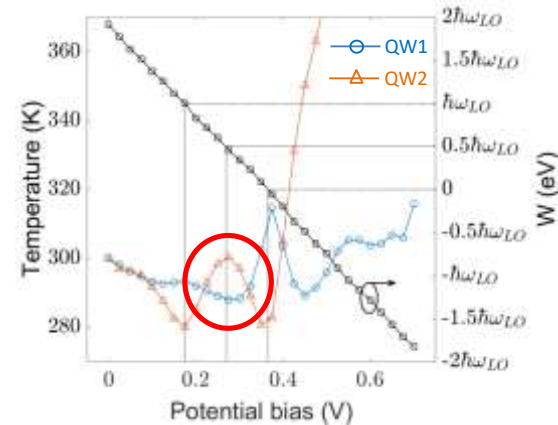
- Broad injection in QW1 & no selective extraction of hot carriers → Heating QW1
- Injection in QW2 @ ground state energy & Thermionic process → Cooling QW2

Temperature oscillations

0.275 V: $\left\{ \begin{array}{l} W \approx 0.5\hbar\omega_{LO} < k_B T \approx 25\text{meV} \\ T_{QW1} \Rightarrow \text{local minimum} \\ T_{QW2} \Rightarrow \text{local maximum} \end{array} \right.$

$\hbar\omega_{LO} = 35 \text{ meV}$

Polar optical phonon energy



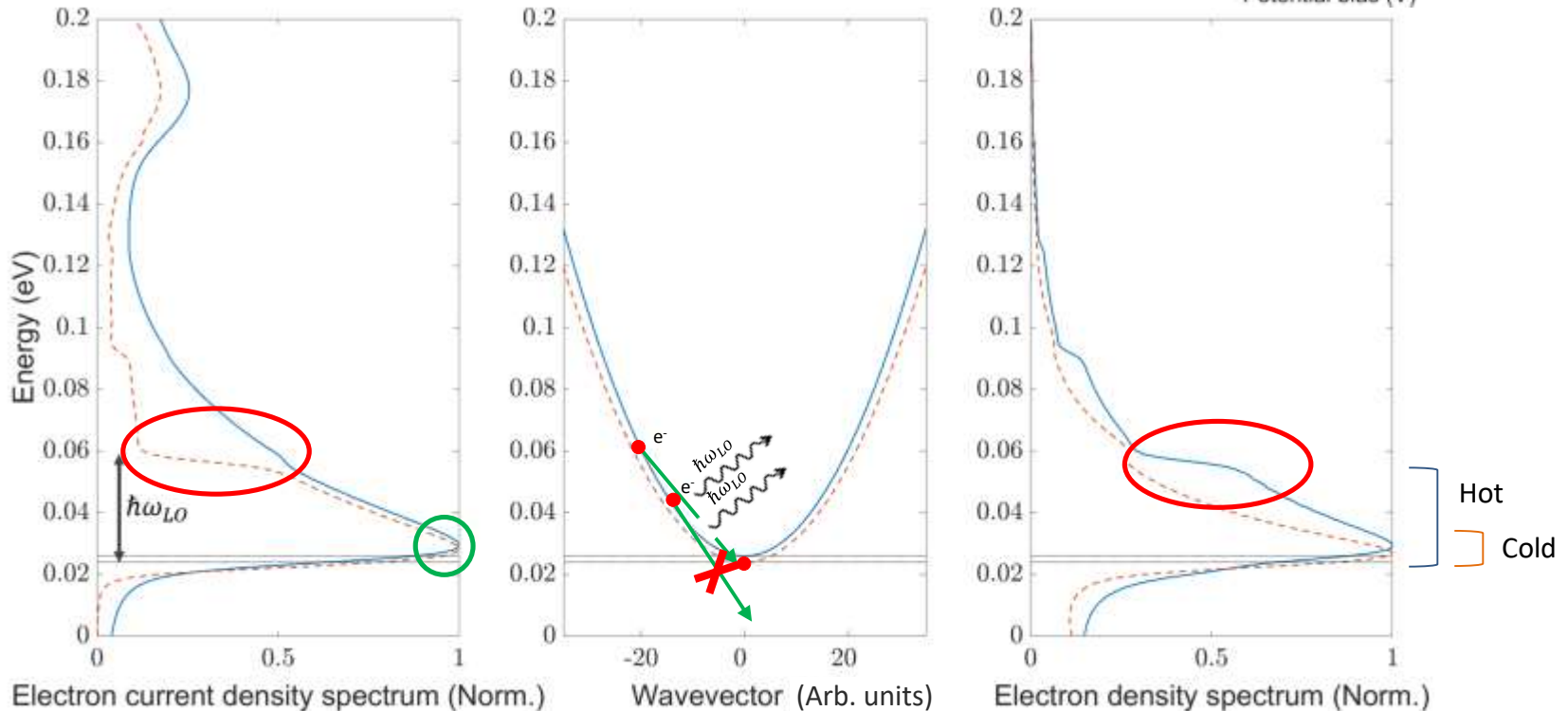
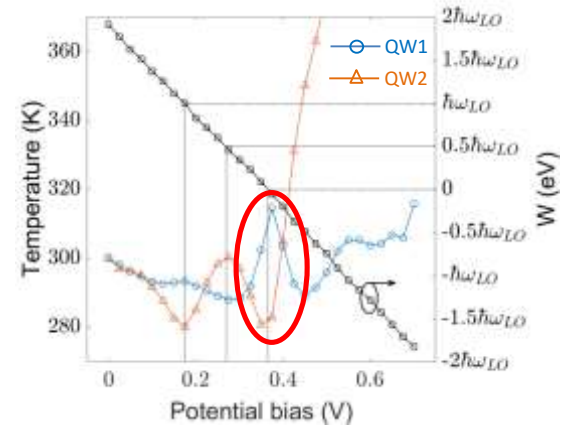
- Excited electrons extracted from QW1 through elastic scattering → Cooling in QW1
- Electron injected in QW2 above ground state energy → Heating QW2

Temperature oscillations

0.375 V: $\begin{cases} W \approx 0 \\ T_{QW1} \Rightarrow \text{local maximum} \\ T_{QW2} \Rightarrow \text{local minimum} \end{cases}$

$$\hbar\omega_{LO} = 35 \text{ meV}$$

Polar optical phonon energy



- Electron bottleneck lead to a shoulder at 0.055 eV → Heating QW1
- Narrowed injection & subsequent thermionic emission → Cooling QW2

Conclusion

- Proof of concept for the Quantum Cascade Cooler, a new type of cooling nano-device
- Performances are increased when compared to the SQW
- Interpretation on the role of the optical phonon energy in multiple quantum well heterostructure

Thank you